

Docket No. 1986.002.00

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

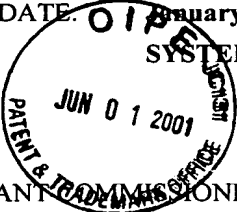
IN RE APPLICATION OF: **Errol O. KENDALL et al.**

SERIAL NUMBER: 09/756,906

ATTN: APPLICATION BRANCH

FILING DATE: January 10, 2001

FOR: **SYSTEM FOR APPRAISING A FINANCIAL PRODUCT**



**FILING OF SUBSTITUTE DRAWINGS**

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

Responsive to the notification dated February 26, 2001, and in accordance with the provisions of 37 CFR 1.53(f), Applicants submit herewith Substitute Drawings, consisting of six (6) sheets (Figs. 1-6).

In light of the foregoing, this application is deemed to be in proper condition for examination and such favorable action is earnestly solicited.

If these papers are not considered timely filed by the Patent and Trademark Office, then a petition is hereby made under 37 C.F.R. §1.136, and any additional fees required under 37 C.F.R. §1.136 for any necessary extension of time, or any other fees required to complete the filing of this response, may be charged to Deposit Account No. 50-0911. Please credit any overpayment to deposit Account No. 50-0911. A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/756,906         | 01/10/2001          | Errol O. Kendall      | 1986-002-00            |

CONFIRMATION NO. 6340

## FORMALITIES LETTER



\*OC000000005798539\*

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Date Mailed: 02/26/2001



## NOTICE TO FILE CORRECTED APPLICATION PAPERS

***Filing Date Granted***

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE